! hstd x**!Bun**n

The Hecke category (part I|factorizable structure)

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In this lecture and the next, we will describe the \Hecke category", namely, the thing which acts on D-modules on Bungand with respect to which action the notion of Hecke eigensheaves is de ned. In fact, almost none of this content actually concerns Bung, so before we move into talking about something apparently completely di erent, we will give a general description of the goal and indicate why the context must change. Throughout this lecture, our D-modules are assumed to be holonomic.

The Hecke stack; motivation

Back in the very rst lecture, Dennis described some particular examples of Hecke functors for Bun= $Bun_{GLn}(X)$ (as always, X is the smooth projective curve we are using). They all concerned diagrams

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l e He also gave othe r exa mple s of poss ible \Hec ke stac ks" with prog ressi vely elab orat e cond ition s on , and de n ed corr espo ndin g \Hec ke funct ors"

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n g t h (or with other shift s, forth e other stacks). He then indicated that we would need to consid

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and that the group ind-scheme G(b) acts on it by changing the trivialization. (f0g K

notation, then, Grby choosing a uniformizing parameter znear x; when this happens, we will ~ For any group G, not just GLn just write b Kandg !T is an isomorphism on X² nfxgs T are G-torsors on Xs): $H_x(S) = ((T_1;T_2;) : T_1)$ i S a convolution diagram Bunhg x Hhx !x! Bung G G 1 ¹on X), the ber of h over , restricted to D Ton D, then T 1 2 2 For any point of Bun(C) (that is, a G-torsor T. Indeed, if we (noncanonically) pick a trivialization of T 1 is actually a Grg ^S sn ² he F=to Oxinduce a global strati cation of) **Gr**G¹ i -bundle over Bung н ſ G х) acting on Gr)!(Me F); G Gr G $F_{x}(M) = (!h)$ $X_n = H_n$ х Here, x and the ber of h is noncanonically identi ed with Gr, can vary over all possible G-torsors and over all trivializations, since Tis now trivial (this is the Beauville{Laszlo theorem, which says that we can always glue on Taway from xto complete T). It is not hard to show (using this same logic) that H, where the structure group is in fact G(); we will return to this more precisely next time. Therefore, the G(b O)-orbits on Grx; it

considered before. Recall the de nition of equivariance of a D-module with respect to the action of a group on the underlying space; in the case of G(b O_{xG} , it means that the two pullbacksG(b O_{xG} aprare isomorphic, with the isomorphism subject to some natural conditions. Any such D-module Fcan be extended along H_{xx}) to a

turns out that their various closures are exactly the strange Hecke stacks

\twisted pullback" e F; for M2D-mod(Bun), set MM e F Hxthis is the uniform de nition of the Hecke functors. We see, therefore, that the Hecke category of Hecke functors is simply the category D-modg (b Ox)(Grg) or, as we will call it later, Sph. One further modi cation is possible. If x2X(C) is not xed but allowed to vary, or to multiply toseveral points, then there arise relative and higher Hecke stacks Hde ned by !T₂ is an isomorphism on X x) ~x2Xn(S);T are G-torsors on X): $H_n(S) = ((~x;T_1;T_2;) : T_1)$ i) is the graph of xi : S!Xinside Xs. There are diagrams n Bunhg n Hhn !n!Bun Xn is something we have not seen yet but which we will introduce presently: the \factorizable" grassmannian. The factorizable grassmannian (S) = (: for a xed choice of x2X(C), we have: G(T;t) Recall the 2 \global" version of Gr GrG Tis a G-torsor on Xstis a nfxg trivialization of Ton Xs

Th is ha s th e sa m e rel ati on sh ip to ха s do es H× , an d th е de pe nd en cy pr ob le m is so lv ed in th е sa m е w ay by de ni ng un re str ict ed an d rel ati ve ve rsi on s: Gr_{G;Xn}(S) = Gr_n(S) = ((~x;T;t) ⁿ tis a trivializ Tis a G-torsor on Xs x): tis a trivialization of Ton Xs n [i) Ν ot е th at Хс an be an у s m 00 th cu rv е in thi s de ni tio n, no t ne се SS ari ly со m pl et е (0 r, in de ed , ev en al ge br ai c). Th

es е ar е all in d-pr ор er sc he m es ov er X, an d th ey ha ve a nu m be r of rel ati on sh ip s со m pri si ng th e fa ct ori za bl е str uc tur e: be th е со rr es po nd

in g со ру of Х m n. Then there are isomorphisms Grn ^p be the open subset of X_n 1which are compatible with re nement of the partition p; Let pbe a partition as above and suppose its parts $p; :::; x_n$) such that if $x_{ii} = x_i$ For n;m2N, let pbe a partition of [1;n] into mparts and inside X j = Grm р have sizes ni; let U Grni Up consisting of coordinates (x Grn , then i; jare in the same part of p. Then there are isomorphisms İUρ Ycompatible with re nement of = U's). Furthermore, these isomorp some diagonal, and away from o on Xn which is compatible with both of the above classes of ison For any n, an equivariance structure for the action of the symmetric It is possible to give a precise statement of the nature of these little reward for the necessary work, it is in the appendix. The pr group S **= X**n1 = n nm+1 i j 2pand Vi j are the same in both cases, so ij_P m the complement of all the Dj sGrn i s i S ⁱ by gluing Tjⁱ ito the trivial torsor T ojvi via t_j along the isomorphism ton U_j)_{j 2pi};Ti;ti $V_i = X$ ni S gof Xs n G We construct the factorization maps along the diagonals. If we have coordinates $x_1, \dots, x_{n-1} = x_n$, then we may set $x_{i|n} = y_i$ for all j2pi, where ThenSx_i) = Siy_i); since Xsis the same in both cases, the again single out a partition, but this time, none of the n are disjoint, for i= 1;:::;n; denote by U $= S^{i} = X$ nDi. n(~x; **t**1 i а Then U g) n U other than D d on Xs V n j i Just like Gr 3 т n n n n (S) = n(S) =

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th of th e m no W in fa ct ori za bl е for m s. Ν а m el y, th ey ar е G(b O)G(b K)

(~x;g) ~x2X ~x2Xn(S);g2G xi) o (b X(S);g2G s;xb X) os;xn [

where the drn = G(b K)n=G(b Xs;x= Specxs), b(b Oxs, and thus both groups act on Gr;bn):i; this follows, as for the a ne grassmannia from the Beauville{Laszlo theorem. This is so similar to Grthat one is entitled to ask what the relation is, and the answer is simply that Gr1is a GrGG-bundle over X, where the structure group is the group b O).xIndeed, if we choose on some Zariski-open subset Uof Xa regular function zwhich is a local parameter at every point, then zidenti es each Owith b O= C [[z]] and thus identi es G(and G(b O) U thus their quotient with GrGb O)1and G(b K)1with G(b K) U U. The transition maps are obviously give by elements of Aut(sometimes, a small complex disk (in the analytic topology), then Gr1 = Grb O). The useful conceptual notion, but its most practical form is that if Xis, as we will take it X. The relative lot and arc groups G(b O)nand G(b K)nGare factorizable in the same way as Grn(as made precise in the appendix).

Convolution and the geometric Satake equivalence

Now we introduce the main object of study: the Hecke category. De nition 1. The n'th big Hecke category, denoted Sph_n, is the category of spherical, or G(b O)_n-equivariant D-modules on Gr_n; the regular Hecke category Sph is the category of G(b O)-equivariant D-modules on Gr. We will generally talk just about Sph₁Gand Sph, and in the end we will state (without proof) the appropriate generalizations to Sph_n. The most important property of these categories is that they have convolution products, which are obtained by certain convolution diagrams. The most natural way of de ning convolution is to do it on G(b K) (or, indeed, G(b K)_n), via the multiplication map G(b - b - b)

G(b b K)G(K)

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F of D-modules on G(b K), the formula B) is the geometric analogue of convolution of function Unfortunately, this de nition is not amenable to analysis since G(b K) is so wild. But suppose sheaves F2Sph, and denote q: G(; then q) can be computed on a much better space. Indee qcommutative) and thus(3), along with the objects on it, descends to the diagram: are G(b C both the left and the right (which are di erent since Gis not, in general,= G(b K) Gr: (4) Co \convolution diagram". There is one projection pr: Conv; it and mare de ned by the formulas de nitions(2)and(1)):

as the product Grpr(g;(T;t)) = gmod G(b O) m(g;(T;t)) = (T;g t): Gr, but we will not want this Rather, for F2Sph, we de nee Fto be the descent of prF) from the left-hand side of(3)to Cor F2Sph, Fe F 1: (5) Then the convolution of F): (6)

Note that, a priori, this is merely a complex of D-modules and, indeed, makes sense for any equivariant to a map from the convolution di complexes in the derived category. Later, we will show that it indeed sends Sph Sph to Sph. The program established above is easily generalized to Gr₁and to the Grin general. Using the same words, the product on G(b K)n, G(b K)n Xn mn G(b K)!G(b K)nn(3) descends to the double quotient by actions of G(b O)nn n m n 0) and admits, as before, one projection pr: Convn !Grn (F 0 2 e Fon Convn, and we n е set12 F L L 0,6 F L n G(b Gr !Gr G(b : (4 O) K)n The left-hand side is denoted . When n= 1, this map is naturally identi ed with that of (4) over every point of X. For F2Sph(or indeed, a equivariant complex), there is a twisted pullback Convn) As for(6), these are merely complexes of D-modules for now; we will return later to the question of how these convolutions are related to that of(6). Returning to the ordinary grassmannian Gr G) as tensor categories, where Once the equivalence Sph = Rep(

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, the theorem which is the

subject of these lectures is the geometric Satake equivalence:

Theorem 2. The convolution admits a commutativity constraint making Sph into a rigid tensor (\Tannakian") category. There exists a faithful, exact tensor functor Sph !Vect inducing an equivalence (modulo a sign in the commutativity constraint) of Sph with Rep(Gis the Langlands dual group of the reductive group G, whose weights are the coweights of Gand vice versa. G) is established as categories, the convolution becomes less important, and is replaced by another form of factorizability related to convolution on the Sph. We will digress from the proof in order to formulate a generalization of the above theorem.

on them have a factorizable structure as well. Imprecisely, this

structure consists of the following data:

For any partition pof [1;n] into mparts, there is a direct image functor

along p with Grm

of D-modules on U

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Sph: Here the rst map is restriction from Xnn2

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cor	rresponding to the identi cation of the restriction of Gr	. This functor is right-exact a simplicity) that pis the partiti category Sphpwhich are equ Sphn! Sphpp Sphn1	and in fact has a right adjoint +n2in the derived cat on n= nand that Uis the corresponding open set. O livariant with respect to the action of (G(b O)n1 G(b
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, their image under the second map is (F F2)jup. As before, these maps admit right adjoints and, when n= 2, are actually exact. There is a version of the above point for ner partitions, and both of these maps are compatible with

corresponds to a factorizable notion of G-representation. To separate the notion from G, let Hbe any group. If F2D-mod(X), then we say that Hacts factorizably on Fif for every partition pof ninto mparts, there is an action of H, and these actions are consistent with re nement of p. This consistency is exempli ed by the following situation: let n= 3, and say that pis the partition 3 = 2 + 1 (in that order); then U = X





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acts on F, and on Uacts on F; we require that restricted to Uact as the diagonal of the rst two factors of H, while the last factors act identically. We will denote by Rep(H) the category of such factorizable representations of Hin D-mod(X). The categories Rep(H) have the same factorizable structure as the Sph: a direct image along diagonals, and restriction and product maps away from the diagonals. Finally, we can state the big Satake equivalence: Theorem 3. There are equivalences of categories identifying all the Sph with the Rep L

this equivalence respects their factorizable structures as well as convolution. We will only proveTheorem 2;Theorem 3follows in a totally formal manner from it. , at least as long as the objects being convolved are D-modules rather than complexes. The connection is via a local computation on X: suppose that Xis a small complex disk with center denoted x, so that Gr= pr X. For F2Sph, let FF[1] be its extension, along this product, to Gr $\ .$ (It should be noted that the product decomposition of Gr 2 1 n !X₂ S p h, a n 2d j

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s canonical only up to the action of Aut(b O). However, it can be shown, in a manner not depending on thefusion product, that any element of Sph has a unique structure of Aut(b O)-equivariance, so that in fact thisdoes not interfere with the arguments.) In this section, we will show that convolution on Sph has values again in Sph and that it has a natural commutativity constraint. The key is the following claim, which establishes convolution in Sph as a fusion product, so called because convolution at a point x2X is obtained via tensor product over two points y;z2Xwhich come together (or \fuse") at x.

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the inclusion, and identify j

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Note that this product depends only on the factorization structure of Gr. One of the properties of j: is the inclusion of the diagonal, then (M)[1] is a D-module for any D-module M(rather than, as it is a priori, a complex on X). This immediately implies that Fis a D-module. It also gives a commutativity constraint for , coming from the isomorphism

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F) = sw (pr	F	F	F	F	F	F	0 2	0 1
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swaps the coordinates and, of course, sw = , so the above isomorphism indeed gives an isomorphism of Fwith F F1.Lemma 4shows why it is necessary to work in the abelian category Sph, rather than the derived category in which the de nitions of convolution also make sense: the operation jis only a functor on D-modules. Thus, we need only proveLemma 4. In order to set up the core theoretical argument, we introduce the convolution grassmannian f Gr. Once again, we give a quick (though correct) de nition here and defer a technical development to the appendix. Recalling(4), let f Grbe the closed subscheme of pairs ((~x;g);(~x;T;t)) in Convwith the following properties: As an element of Gn(x) [x)) , gextends tos;x);

with Gr₁² The trivialization t, de ned on b n(x1) [x2)), extends to Xs nx₂ Xs 0) 2 2 1 Xin Gr2. Using them, we construct a twisted product F1 1 1 o i= pr Grin the following way: Let F i[1] on Gr1 Xand X Gr respectively, considered as objects of Sph $F = Conv_1$. Furthermore, the map o)induces a map, likewise called m, from f to Gr f Gr2j mof(4,!Gr2 Gr). Both of these conditions are invariant under multiplication by G(2, so do in fact de ne a subfunctor. It isevident from this de nition that over Xn, there is a natural identi cation of f Gr, and that. There is a \cheap" inclusion X Gr, sending a pair (x;(y;T;t)) to ((x;y);T;t); likewise, there is an inclusion of Gr The tensor product (q -biequivariant and so descends to Conv2; The descended D-module F1e F21happens to live or De nition 5. The outer convolution of F;F22Sph1is F12 oF2= m (F11e F). Clearly, FF2= (F1 oF22) proveLemma 4, it su ces to prove (going back to F0 12Sph) F 00 2F= ji j (F0 1 F0 2i): (7) To do this, introduce a catalyst in the form of the unipotent nearby and vanishing cycles functors; rather than giving a detailed discussion of them, we refer the reader to the notes [2] on Beilinson's paper [1]. Here, only the following properties are important (once again, the D-modules are holonomic): For any scheme Y and Cartier divisor D Y with open complement U, there is a functor of unipotent nearby cycles a functor of unipotent on each un pun p[7] called the monodromy. There is likewise a functor un D: D-mod(Y) !D-mod(D) of unipotent vanishing cycles. Let j: U!Y be the inclusion. Suppose that F2D-mod(Y) and that un D(j = j! (j F)) has trivial monodromy; then a necessary and su cient condition that FF) is that un DY-module, then it has both of these properties. When this happens, then i (F) = 0. If F is a free OF[1] = i!F[1] = un D(F), where iis the inclusion of D. (This is the only one of these facts that relies on the theory from Beilinson's paper.) is local on Din that for any open set V and F2D-mod(U), we have un D(F)jVun D = un D(Fjv G), F0 i2D-mod(F). Then we have un E(FY F un 2D-mod(Y), FF FF) = un D(FY) Y 1 1:**F**2 = un D un E 1 un D), and this isomorphism respects the monodromy. This is likewise true for 1 yand F2D-mod(Y). Nearby and vanishing cycles respect products, as follows: let Z = Y F, set E = pr(D), and let Fand likewise for , and this isomorphism respects the monodromy. If p: Z!Y is a proper morphism and E = p(D), then p p(nearby cycles commute with proper direct image) and this isomorphism respects the monodromy. Likewise, vanishing cycles commute with proper direct image. The glue that makes this all stick together is the following easy lemma: Lemma 6. . Then F 0 Let F2D-mod(Gr2D-mod(Gr) their extension to Gr $^{0} = \mathbf{Gr}_{G}$ $= pr(F_1)$ F₂)[2]: 1 7 1

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has no vanishing cycles and its nearby cycles have trivial monodromy. Proof. We continue to identify Gr1 X, and we write pr to mean (in this proof) the projection Gr Gr1!(GrG)2. Then we have F in the statement that the cycles functors respect products, and let Fy



isomorphic to Gr) = 0 and the monodromy action on e Fo 2 preserves these properties, so the same is true of F, and the criterion for it to equal the minimal extension of its own restriction applies. To complete the proof, we note that j

App endix: the convolution grassmannian

In this appendix, we discuss the convolution grassmannian more formally. There are in fact many variations, but we only need one:

¹f (S) = ;x₂;T₁;T 1) is an isomorphism T = T₂on Xsnx nx on Xs tis a trivialization of T₁ 2;t;) ² Gr

The reason for its existence is that it admits the diagram(4 o)

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$Gr_{1 pr} \ge m ! Gr :$			
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resembles a product of Gr ²	2	f Gr	¹ ² ; t):
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¹with itself, but that product does not admit a map such as m. The existence of i de nition off Gr

 $m(x_1;x_1;T_1;T_1;t;) = ((x_1,x_1)^2)^2$

Gr

Likewise, pr sends such a point to (x1;T1;t). Just like the Grn, f Gr2is ind-proper, hence mis a proper map.

Although it is not actually the product Gr1 Gr1, the projection map pr is in fact a Gr-bundle over Gr1. To see this, we de ne the following functor:1

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(x1;x2;T;t1;t2) 2 e G(b O)(n	ote the equality of X-co	pordinates); let	o;t3) 2Gr1(S)	2
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(x1;x2 1;T2;t;) 2 f Gr (\$	3):				
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8) is a trivialization of T, and the like restriction of the the G-torsor obtained by gluing to T, using the Beauville{Laszlo theorem. Then by de nition, T

2 21 This gives the map(8). To see that it is surjective, take a point such as the one above and let 2^{2} T) $[x_2]$, thus obtaining a trivialization t_3 ; T_0 ; t_3) 2Gr₁(S). As before, we take T= T₁b $X_{S;x22}$ (arbitrarily) on each set Uof this cover and take $t_1 = t$; then $(x_1;x_2;T;t_1;t_2)$ this we have already identi ed each ber with G(b X), as desired. Let be the projection onto Gr1U;x2from the left-hand side of(8). If F2Sph1, then e Fonf Gr2; as before, for F1;F22Sph11e F2= pr F1 e F2. App endix: factorizable structure In this appendix, we give a rigorous description of the factorizable structure on the Grn 1: 11 !J1 and p2: 12 !J2 to their union p: $I_1 = p_1(j)$ for the j'th part of this partition. We de ne two k p!J, a rst re nement is a partition r1: I!losuch that p= j a partition r2: Joo r!Jsuch that $p = r_{120} p_0$ [**J**2 away from xglued, via t, to the trivial torsor away from x) and a point (x, but it is not necessarily possible to trivialize it on. However, since Tis a torsor, there is an open cover of Son which such trivializations exist, and we pick one t) 2 e G(b O)1(U). Thus (8) is surjective as a map of Zariski sheaves (let alone fppf sheaves). Finally, in the course of showing (F) is G(b O)equivariant and therefore descends to a D-module, we de ne the twisted product F . This requires some abstract nonsense with partitions of nite sets; thus, we introduce the additional notation: for any nite sets land J(thought of as \index sets"), a partition of linto Jparts is a surjection p: IIJ. We will write p . Note the directions of the maps. Let Part be the category of partitions whose morphisms are generated by the re nements of both types. There is a natural bifunctor Un: Part Part !Part sending a pair of partitions p; this functor admits a natural commutativity constraint. Let Xbe a scheme (it may as well be our curve). For an index set I, let XI = Qi 21 Т r: U to the coordinates (x ; p i i $= x_{2}$, then p(i) = p(i)) such that if x I 2 p 0 0 U p: Up р р p ⁱ ji2p i i 1 p: XJ !XI sending x i), with image o into U !J p0 !U = İn İр ¹ is a rst re nement, let l 2 = j _{r2} is a second . we have U re nement, let l 0)

Xbe the unordered power of Xcorresponding to this nite set. For any partition p: IIJ, there is an induced closed immersion i. There is also a corresponding open subset Uof X(not its complement) consisting of all

points (x); let j!Xbe the open immersion. For any partition pand morphism r: p!pin Part, there is a locally closed immersion I_{rpo} , which clearly sends Ude ned as follows for the re nements: If $r=r_{rp}$; If r=r, which again clearly has image in U. One should check that for any p;poun(p;pp Upo. Let PSch (\schemes over partitions") be the category, bered over Part, such that for any partition p, the ber PSch is Sch=Up, the category of schemes over Up

 $1=U^{1}$ and $X = U_{p_{2}} t_{0} (X_{1} X_{2}) JU_{Un(p_{1};p_{2})}$

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, and let the cartesian morphisms (pullbacks along morphisms r) be given by restriction along I. There is again a bifunctor Pr: PSch PSch !PSch sending X, admitting a natural commutativity constraint. If : PSch !Part is the structure functor, then identi es Pr with Un. In more usual terms, the two categories are braided monoidal categories and is a braided monoidal functor.

De nition 7. An sf-scheme (symmetric factorizable scheme") is a braided monoidal section functor Fof . This means:

9 F(p) with F(p

1.We have F = id exactly (not up to isomorphism); 2.For $_{0}$, and these isomorphisms are functorial in r; every morphism r: p!p, there is an isomorphism of r

3. There is the additional datum of an isomorphism of functors making the square commute: PrPSch PSch PSch



4. This isomorphism is required to be compatible with the commutativity constraints in the sense that if Sw is the functor swapping factors in either product category of the above diagram, then the following diagram of functors and natural transformations commutes:

Pr Sw Pr



If for every index set I, having cardinality #I = n, we have $F(I!f1g) = Gr_n$, then Fis a factorizable structure on $Gr_{nG;X}$, and in the main text we have described one such structure. The correspondence between the above properties and the ones given before is:

The existence of factorization along diagonals (the rst factorization property) is a special case of (2) when r= r₁pis a rst re nement and pis the trivial partition I !f1gwith only one part (so $U_p = X_I$). Factorization on diagonal complements (the second factorization property) is a combination of (3) andthe special case of (2) with r= rn₂-equivariance is special case of (2) in which pis the trivial partition and poa second re nement and pthe trivial partition. The S= p, so that ris an automorphism of I.

Compatibility of the three structures above is the stipulation in (2) that the isomorphisms be functorial, together with the functoriality of Pr and the fact that is a monoidal functor. The role of (4) is to ensure that the data of Sn-equivariance on Grnis compatible with the natural S-equivariance of a product Grn1 Grn2when both are identi ed on Up(here pis the partition n= $n_{21} + n_2$).

References

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